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| EXAMINER |
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SINGH, HIRDEPAL

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2611

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05/29/2009

PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

|                              |                                      |                                       |  |
|------------------------------|--------------------------------------|---------------------------------------|--|
| <b>Office Action Summary</b> | <b>Application No.</b><br>10/538,152 | <b>Applicant(s)</b><br>FRITSCH ET AL. |  |
|                              | <b>Examiner</b><br>HIRDEPAL SINGH    | <b>Art Unit</b><br>2611               |  |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 26 February 2009.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 13,17,19,23,26,29,31 and 33-35 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 13,17,19,23,26,29,31 and 33-35 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 26 February 2009 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All    b) ☐ Some \*    c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
  2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
  3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)                                | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)                       | 5) <input type="checkbox"/> Notice of Informal Patent Application                       |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)<br>Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____  |

### **DETAILED ACTION**

1. This action is in response to the amendment filed on February 26, 2009. Claims 13, 17, 19, 23, 26, 29, 31 and 33-35 are pending and have been considered below.

### ***Response to Arguments***

2. The amendment corrected claim 26, therefore, the 35 USC 101 rejection to claims 26, 29 and 31 is withdrawn.
3. The amended drawings blocks are labeled, therefore the objection to the drawings is withdrawn.
4. The amendment failed to resolve the 35 USC 112 issue, therefore, the rejection to claims 13, 26 and 33 is upheld.
5. Applicant's arguments filed February 26, 2009 have been fully considered but they are moot in view of new ground of rejection necessitated by the amendment

### ***Claim Rejections - 35 USC § 112***

6. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.

7. Claims 13, 17, 19, 23, 26, 29, 31, 33, 34 and 35 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.

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8. Claims 13, 26 and 33 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite in that it fails to point out what is included or excluded by the claim language. This claim is an omnibus type claim.

9. According to MPEP:

**2173.05(r) Omnibus Claim**

Some applications are filed with an omnibus claim which reads as follows: A device substantially as shown and described. This claim should be rejected under 35 U.S.C. 112, second paragraph, because it is indefinite in that it fails to point out what is included or excluded by the claim language. See *Ex parte Fressola*, 27 USPQ2d 1608 (Bd. Pat. App. & Inter. 1993), for a discussion of the history of omnibus claims and an explanation of why omnibus claims do not comply with the requirements of 35 U.S.C. 112, second paragraph.

Such a claim can be rejected using Form Paragraph 7.35. See MPEP § 706.03(d). For cancellation of such a claim by examiner's amendment, see MPEP § 1302.04(b).

**2173.05(s) Reference to Figures or Tables**

Where possible, claims are to be complete in themselves. Incorporation by reference to a specific figure or table "is permitted only in exceptional circumstances where there is no practical way to define the invention in words and where it is more concise to incorporate by reference than duplicating a drawing or table into the claim. Incorporation by reference is a necessity doctrine, not for applicant's convenience." *Ex parte Fressola*, 27 USPQ2d 1608, 1609 (Bd. Pat. App. & Inter. 1993) (citations omitted).

Reference characters corresponding to elements recited in the detailed description and the drawings may be used in conjunction with the recitation of the same element or group of elements in the claims. See MPEP § 608.01(m).

10. The Independent claims 13, 26 and 33 recites that the automation code is generated based on a drawing that has layout of the plant. That makes the claims indefinite in that it fails to point out what is included or excluded by the claim language, (because a drawing in claim is indefinite). However, in this office action examiner

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interpret the claims as the plant information related to layout is inputted in the system by the user.

### ***Claim Objections***

11. Claim 35 is objected to because of the following informalities: claim 35 recite limitations including some S and P parameters, without defining what type of parameters they are and what values they can acquire. Appropriate correction is required.

### ***Claim Rejections - 35 USC § 103***

12. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

13. Claims 13, 26 and 33 are rejected under 35 U.S.C. 103(a) as being unpatentable over Burgess (US 5,805,896), in view of Sakurai et al. (US 6,334,076), in view of Juras et al. (US 2002/0165744) and further in view of Elmqvist ("A Uniform Architecture for distributed automation", Advances in Instrumentation and Control, Instrument Society of America, Research Triangle Park, NC US, Vol. 46, Part 2, 1991; Pages, 1599-1608).

**Regarding Claims 13 and 26:**

Burgess discloses a system and method for producing software/code using links of the components of the system (summary of the invention) comprising:

sending messages between the components through the ports and the data is being transferred between the components (column 2, lines 23-30), therefore it is inherent that the message transfer is taking place as signals through the ports;

the event objects include message information describing the message i.e. information about information, and the derived class provides behavior specific to a type of message i.e. message is the information and type of message is metainformation i.e. information about information (column 2, lines 23-40), also the system components are sending and receiving the temperature data and also converting from one scale to another i.e. Fahrenheit to Centigrade and vice versa (figures 4-7; column 3, lines 20-58), in this case the temperature data is the information and the information whether the temperature scale in Fahrenheit or Centigrade is metainformation i.e. information about information;

producing a program code by interconnecting the signals based on the directed connections of the components (column 4, lines 35-50; producing a class is referred to as a program code; system shields (protects) the programmer or developer from details of connecting of components see column 2, lines 18-21; also in the system the message is sent from dispatching to target member, see column 2, lines 28-32)

Burgess discloses all of the subject matter as described above and further discloses that the components have input and output ports, represented by corresponding symbols/functional blocks/modules (column 1, lines 45-64; column 2,

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lines 65-67; column 3, lines 1-19) and; the components are connected through their ports, directed relationship of the components are defined (column 3, lines 29-34, lines 54-57; column 4, lines 1-16), but doesn't specifically teach that (1) the code generation is for a manufacturing and/or processing plants, and the automation code is generated on the basis of a structure of the plant and know how, including predecessor/successor relationship (similar to directed relationship in Burgess), previously input into the description; (2) the components are described in drawing comprising control relevant information in the manufacturing and/or processing plant; (3) the control information described in the drawing is based on the material flow in the manufacturing and/or processing plant.

Regarding item (1) above, Examiner notes that code generation for a manufacturing and/or processing plants this is just an intended use, therefore little if any patentable weight is given.

However, regarding item (1), Sakurai in the same field of endeavor discloses a similar system and method for automatically generating a control program/code for plants such as rolling plants, power plants, and chemical plants (abstract, technical field); and the automation code is generated on the basis of a structure of the plant and know how previously input into the description (plant operation procedure is defined in a flow chart (SFC), and sequential control flow is defined in a chart and block diagram see column 4, lines 36-48; which means the information about the operation and process in the plant is defined in a chart and used for generation of automation code; also figure 10; column 10, lines 60-67); regarding item (2) above, Sakurai discloses that the

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components of the system are represented by functional modules in form of drawings or pictures or graphics based on the control relevant information i.e. operation procedure, and the system is controlled by modifying the drawings or graphics or pictures of the described component modules (column 2, lines 20-51) where a picture representative of the plant operation control specification entered for the generation of a program can be viewed on crt (column 4, lines 8-22).

Therefore, it would have been obvious to one of ordinary skill in the art at the time of invention to use the disclosed system for code generation by Sakurai in Burgess in a manufacturing and/or processing plant to generate automation code for controlling a manufacturing and/or process plant based on the plant layout and a relationship of component how the process flows in a plant to generate the automation code to allow a person with little programming knowledge to generate the code, and to make system capable of checking and modifying the function of automatically generated code before the plant is caused to run actually based on the information available about the plant or factory to reduce errors that may be caused by choosing a different or reverse direction of process or material flow in the plant.

Regarding item (3) above, Elmqvist discloses a similar system and method for distributed automation with a graphical programming environment for programming/software generation by graphically connecting the predefined modules (abstract, page 1599; paragraph 4, page 1600), and further discloses that the control information in drawing or graphic is based on the physical objects present in the processing or manufacturing plant as pumps, pump stations, robots, roller tables etc.



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(paragraph; Object and data flow based language, page 1600). This is inherent that the physical objects of the plant form the path for material or fluid flow as shown in the example of tank system (figures 1-5) i.e. the system is controlling the process based on the material or fluid flow through the tanks, PID (process identifier) controllers, valves, and pumps (Tank system, page 1601).

Therefore, it would have been obvious to one of ordinary skill in the art at the time of invention to use a drawing or picture or graphic having control relevant information based on material flow in a plant for code generation in Burgess in order to combine the graphically represented components i.e. a drawing based on material flow in a plant of Elmqvist for code generation to help make use of the standard designing tools.

Regarding the Predecessor/successor relationships to make rejection clear following reference is used, Juras in the same field of endeavor discloses a system and method for product development process where the software code is generated by using plant layout for building manufacturing systems (figures 12-16; paragraphs 0036 and 0051)

Therefore, it would have been obvious to one of ordinary skill in the art the teachings of Juras in the Burgess system for predecessor/successor relationships for a finite number of identified, predictable solutions with reasonable success i.e. to implement the predecessor/successor relationships in the manufacturing plant to define the relation between components of the system as predecessor/successor relationships

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in burgess system in order to get the proper order for the execution of the program based on the priority of the process.

**Regarding Claim 33:**

Burgess discloses a system and method for producing software/code using links of the components of the system (summary of the invention) comprising:

the components of the system have input and output ports for data or message communication (column 1, lines 45-64; column 2, lines 65-67; column 3, lines 1-19);

the components are connected through their ports for communicating or sending/receiving messages i.e. a communication network between the components of the system, and a controller i.e. a class object controls the communication of messages between the components (column 4, lines 1-50) and the components are connected through their ports, directed relationship of the components are defined (column 3, lines 29-34, lines 54-57; column 4, lines 1-16);

the components have input and output ports, represented by corresponding symbols/functional blocks/modules (column 1, lines 45-64; column 2, lines 65-67; column 3, lines 1-19), and the components are connected through their ports, direction of the connection is indicated between input and output ports (column 3, lines 29-34, lines 54-57; column 4, lines 1-16);

producing a program code for the processing or manufacturing plant based on the control information flow and the directed connections of the components (column 4, lines 35-50; producing a class is referred to as a program code).

Burgess discloses all of the subject matter as described above except for specifically teaching that (1) the code generation is for a manufacturing and/or processing plants; (2) the described components of the plant comprising function module and the function module being a reusable software object that defines characteristics and functions of the elements of the plant; and (3) the components are described in drawing comprising control relevant information based on material flow in the manufacturing and/or processing plant.

Regarding item (1) above, Examiner notes that this is just an intended use, therefore little if any patentable weight is given.

Sakurai in the same field of endeavor discloses a similar system and method for automatically generating a control program/code for plants such as rolling plants, power plants, and chemical plants (abstract, technical field); and the automation code is generated on the basis of a structure of the plant and know how previously input into the description (figure 10; column 10, lines 60-67);

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to use the disclosed system for code generation in Burgess in a manufacturing and/or processing plant to generate automation code for controlling a manufacturing and/or process plant to allow a person with no programming knowledge to generate the code, and to make system capable of checking and modifying the function of automatically generated code.

Regarding item (2) above, Sakurai discloses a similar system and method for automatically generating a control program/code for plants such as rolling plants, power

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plants, and chemical plants as above, and further discloses that the components of the system are represented by functional modules, and the function modules are reusable or the combination of modules is selected according to the operation and procedure of the plant (column 2, lines 20-51).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to use the function module of the components of plant with connections for communication, as reusable software object for code generation in Burgess to combine the function module as reusable software code, defining functions and characteristics of elements of the plant for code generation to help make use of the standard designing tools.

Regarding item (3) above, Sakurai discloses that the components of the system are represented by functional modules in form of drawings or pictures or graphics based on the control relevant information i.e. operation procedure, and the system is controlled by modifying the drawings or graphics or pictures of the described component modules (column 2, lines 20-51). Furthermore, Elmqvist discloses a similar system and method for distributed automation with a graphical programming environment for software generation by graphically connecting the predefined modules (abstract, page 1599; paragraph 4, page 1600), and further discloses that the control information in drawing or graphic is based on the physical objects present in the processing or manufacturing plant as pumps, pump stations, robots, roller tables etc. (paragraph; Object and data flow based language, page 1600). This is inherent that the physical objects of the plant form the path for material or fluid flow as shown in the example of tank system (figures

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1-5) i.e. the system is controlling the process based on the material or fluid flow through the tanks, PID (process identifier) controllers, valves, and pumps (Tank system, page 1601).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to use a drawing or picture or graphic having control relevant information based on material flow in a plant for code generation in Burgess to combine the graphically represented components i.e. a drawing based on material flow in a plant of Elmqvist for code generation to help make use of the standard designing tools.

Regarding the Predecessor/successor relationships to make rejection clear following reference is used, Juras in the same field of endeavor discloses a system and method for product development process where the software code is generated by using plant layout for building manufacturing systems (figures 12-16; paragraphs 0036 and 0051)

Therefore, it would have been obvious to one of ordinary skill in the art the teachings of Juras in the Burgess system for predecessor/successor relationships for a finite number of identified, predictable solutions with reasonable success i.e. to implement the predecessor/successor relationships in the manufacturing plant to define the relation between components of the system as predecessor/successor relationships in burgess system in order to get the proper order for the execution of the program based on the priority of the process.

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14. Claims 13, 17, 19, 23, 26, 29, 31, 33 and 34 are rejected under 35 U.S.C. 103(a) as being unpatentable over Burgess (US 5,805,896), in view of Sakurai et al. (US 6,334,076), further in view of Elmqvist ("A Uniform Architecture for distributed automation", Advances in Instrumentation and Control, Instrument Society of America, Research Triangle Park, NC US, Vol. 46, Part 2, 1991; Pages, 1599-1608) and in view of Leisten et al. (US 6,023,702).

**Regarding Claims 13 and 26:**

Burgess discloses a system and method for producing software/code using links of the components of the system (summary of the invention) comprising:

sending messages between the components through the ports and the data is being transferred between the components (column 2, lines 23-30), therefore it is inherent that the message transfer is taking place as signals through the ports;

the event objects include message information describing the message i.e. information about information, and the derived class provides behavior specific to a type of message i.e. message is the information and type of message is metainformation i.e. information about information (column 2, lines 23-40), also the system components are sending and receiving the temperature data and also converting from one scale to another i.e. Fahrenheit to Centigrade and vice versa (figures 4-7; column 3, lines 20-58), in this case the temperature data is the information and the information whether the temperature scale in Fahrenheit or Centigrade is metainformation i.e. information about information;

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producing a program code by interconnecting the signals based on the directed connections of the components (column 4, lines 35-50; producing a class is referred to as a program code; system shields (protects) the programmer or developer from details of connecting of components see column 2, lines 18-21; also in the system the message is sent from dispatching to target member, see column 2, lines 28-32)

Burgess discloses all of the subject matter as described above and further discloses that the components have input and output ports, represented by corresponding symbols/functional blocks/modules (column 1, lines 45-64; column 2, lines 65-67; column 3, lines 1-19) and; the components are connected through their ports, directed relationship of the components are defined (column 3, lines 29-34, lines 54-57; column 4, lines 1-16), but doesn't specifically teach that (1) the code generation is for a manufacturing and/or processing plants, and the automation code is generated on the basis of a structure of the plant and know how, including predecessor/successor relationship (similar to directed relationship in Burgess), previously input into the description; (2) the components are described in drawing comprising control relevant information in the manufacturing and/or processing plant; (3) the control information described in the drawing is based on the material flow in the manufacturing and/or processing plant.

Regarding item (1) above, Examiner notes that code generation for a manufacturing and/or processing plants this is just an intended use, therefore little if any patentable weight is given.

However, regarding item (1), Sakurai in the same field of endeavor discloses a similar system and method for automatically generating a control program/code for plants such as rolling plants, power plants, and chemical plants (abstract, technical field); and the automation code is generated on the basis of a structure of the plant and know how previously input into the description (plant operation procedure is defined in a flow chart (SFC), and sequential control flow is defined in a chart and block diagram see column 4, lines 36-48; which means the information about the operation and process in the plant is defined in a chart and used for generation of automation code; also figure 10; column 10, lines 60-67); regarding item (2) above, Sakurai discloses that the components of the system are represented by functional modules in form of drawings or pictures or graphics based on the control relevant information i.e. operation procedure, and the system is controlled by modifying the drawings or graphics or pictures of the described component modules (column 2, lines 20-51) where a picture representative of the plant operation control specification entered for the generation of a program can be viewed on crt (column 4, lines 8-22).

Therefore, it would have been obvious to one of ordinary skill in the art at the time of invention to use the disclosed system for code generation by Sakurai in Burgess in a manufacturing and/or processing plant to generate automation code for controlling a manufacturing and/or process plant based on the plant layout and a relationship of component how the process flows in a plant to generate the automation code to allow a person with little programming knowledge to generate the code, and to make system capable of checking and modifying the function of automatically generated code before



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the plant is caused to run actually based on the information available about the plant or factory to reduce errors that may be caused by choosing a different or reverse direction of process or material flow in the plant.

Regarding item (3) above, Elmqvist discloses a similar system and method for distributed automation with a graphical programming environment for programming/software generation by graphically connecting the predefined modules (abstract, page 1599; paragraph 4, page 1600), and further discloses that the control information in drawing or graphic is based on the physical objects present in the processing or manufacturing plant as pumps, pump stations, robots, roller tables etc. (paragraph; Object and data flow based language, page 1600). This is inherent that the physical objects of the plant form the path for material or fluid flow as shown in the example of tank system (figures 1-5) i.e. the system is controlling the process based on the material or fluid flow through the tanks, PID (process identifier) controllers, valves, and pumps (Tank system, page 1601).

Therefore, it would have been obvious to one of ordinary skill in the art at the time of invention to use a drawing or picture or graphic having control relevant information based on material flow in a plant for code generation in Burgess in order to combine the graphically represented components i.e. a drawing based on material flow in a plant of Elmqvist for code generation to help make use of the standard designing tools.

Regarding the Predecessor/successor relationships to make rejection clear following reference is used; Leisten discloses a system and method of computer system

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for process and project management for design and manufacturing a product in a plant (column1, lines 15-18) where information for the code generation describing activity types or processes or controls is defined and the directed relationships between predecessor and successor activities are always well defined (column 20, lines 40-48)

Therefore, it would have been obvious to try, to one of ordinary skill in the art the teachings of Leisten in the Burgess system for predecessor/successor relationships for a finite number of identified, predictable solutions with reasonable success i.e. to implement the predecessor/successor relationships in the manufacturing plant to define the relation between components of the system as predecessor/successor relationships in burgess system in order to get the proper order for the execution of the program based on the priority of the process.

**Regarding Claims 17 and 29:**

Burgess discloses all of the subject matter as described above and further discloses an input device/means for inputting relevant information for producing software code (column 14, lines12-18; fig 9).

**Regarding Claim 19:**

Burgess discloses all of the subject matter as described above except for specifically teaching that the method for distributed automation with graphical connection represents information flow, and a data flow model.

Elmqvist in the same field of endeavor discloses that the method for distributed automation with graphical connection represent information flow, and a data flow model (page 1601, paragraph 4; page 1605, paragraph 10).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to use the material flow, and/or energy flow, and/or information flow as a basis for mapping the directed relationships between the components in Burgess system in order to use the material flow, and/or energy flow, and/or information flow as a basis for mapping the directed relationships between the components to make the automation code more effective and error free as the manufacturing and/or processing plant layout and planning is according to the material flow, and/or energy flow, and/or information flow.

**Regarding Claims 23 and 31:**

Burgess discloses all of the subject matter as described above except for specifically teaching that the system and method is for distributed automation with automated cooperation for distributed objects; and the system could be a central system.

Elmqvist in the same field of endeavor discloses that the system and method is for distributed automation with automated cooperation for distributed objects (page 1599, abstract paragraph 2; page 1605, paragraph 5). However, official notice is taken that it is old and well known within the computer art that if automated code generation is used for distributed system then it could be used for central system too.

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to use the disclosed system in Burgess for central and/or distributed solutions to use the disclosed system for central and/or distributed

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solutions to control the distributed components with a central controller or to control the components with a central controller as required.

**Regarding Claim 33:**

Burgess discloses a system and method for producing software/code using links of the components of the system (summary of the invention) comprising:

the components of the system have input and output ports for data or message communication (column 1, lines 45-64; column 2, lines 65-67; column 3, lines 1-19);

the components are connected through their ports for communicating or sending/receiving messages i.e. a communication network between the components of the system, and a controller i.e. a class object controls the communication of messages between the components (column 4, lines 1-50) and the components are connected through their ports, directed relationship of the components are defined (column 3, lines 29-34, lines 54-57; column 4, lines 1-16);

the components have input and output ports, represented by corresponding symbols/functional blocks/modules (column 1, lines 45-64; column 2, lines 65-67; column 3, lines 1-19), and the components are connected through their ports, direction of the connection is indicated between input and output ports (column 3, lines 29-34, lines 54-57; column 4, lines 1-16);

producing a program code for the processing or manufacturing plant based on the control information flow and the directed connections of the components (column 4, lines 35-50; producing a class is referred to as a program code).

Burgess discloses all of the subject matter as described above except for specifically teaching that (1) the code generation is for a manufacturing and/or processing plants; (2) the described components of the plant comprising function module and the function module being a reusable software object that defines characteristics and functions of the elements of the plant; and (3) the components are described in drawing comprising control relevant information based on material flow in the manufacturing and/or processing plant.

Regarding item (1) above, Examiner notes that this is just an intended use, therefore little if any patentable weight is given.

Sakurai in the same field of endeavor discloses a similar system and method for automatically generating a control program/code for plants such as rolling plants, power plants, and chemical plants (abstract, technical field); and the automation code is generated on the basis of a structure of the plant and know how previously input into the description (figure 10; column 10, lines 60-67);

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to use the disclosed system for code generation in Burgess in a manufacturing and/or processing plant to generate automation code for controlling a manufacturing and/or process plant to allow a person with no programming knowledge to generate the code, and to make system capable of checking and modifying the function of automatically generated code.

Regarding item (2) above, Sakurai discloses a similar system and method for automatically generating a control program/code for plants such as rolling plants, power

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plants, and chemical plants as above, and further discloses that the components of the system are represented by functional modules, and the function modules are reusable or the combination of modules is selected according to the operation and procedure of the plant (column 2, lines 20-51).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to use the function module of the components of plant with connections for communication, as reusable software object for code generation in Burgess to combine the function module as reusable software code, defining functions and characteristics of elements of the plant for code generation to help make use of the standard designing tools.

Regarding item (3) above, Sakurai discloses that the components of the system are represented by functional modules in form of drawings or pictures or graphics based on the control relevant information i.e. operation procedure, and the system is controlled by modifying the drawings or graphics or pictures of the described component modules (column 2, lines 20-51). Furthermore, Elmqvist discloses a similar system and method for distributed automation with a graphical programming environment for software generation by graphically connecting the predefined modules (abstract, page 1599; paragraph 4, page 1600), and further discloses that the control information in drawing or graphic is based on the physical objects present in the processing or manufacturing plant as pumps, pump stations, robots, roller tables etc. (paragraph; Object and data flow based language, page 1600). This is inherent that the physical objects of the plant form the path for material or fluid flow as shown in the example of tank system (figures

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1-5) i.e. the system is controlling the process based on the material or fluid flow through the tanks, PID (process identifier) controllers, valves, and pumps (Tank system, page 1601).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to use a drawing or picture or graphic having control relevant information based on material flow in a plant for code generation in Burgess to combine the graphically represented components i.e. a drawing based on material flow in a plant of Elmqvist for code generation to help make use of the standard designing tools.

Regarding the Predecessor/successor relationships, to make rejection clear following reference is used; Leisten discloses a system and method of computer system for process and project management for design and manufacturing a product in a plant (column1, lines 15-18) where information for the code generation describing activity types or processes or controls is defined and the directed relationships between predecessor and successor activities are always well defined (column 20, lines 40-48)

Therefore, it would have been obvious to try, to one of ordinary skill in the art the teachings of Leisten in the Burgess system for predecessor/successor relationships for a finite number of identified, predictable solutions with reasonable success i.e. to implement the predecessor/successor relationships in the manufacturing plant to define the relation between components of the system as predecessor/successor relationships in burgess system in order to get the proper order for the execution of the program based on the priority of the process.

**Regarding Claim 34:**

Burgess discloses all of the subject matter as described above except for specifically teaching that the control system comprises different zones with subsets of plant elements.

Elmqvist in the same field of endeavor discloses that the control system comprises different zones with subsets of plant elements i.e. the tank system with tank 1, PID 1 is a control zone with PID, valve as subset of elements of system, and PID controller work as the control coordinator as shown in the topology of the network of the system (figures 1-3; pages 1602-1603).

Therefore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to implement the software code generation of Burgess in a system with different control zones with plant elements including controllers. One would have been motivated to implement the generated code in a system with different control zones including plant elements and controllers to make all different components of system work in coordination for optimum results and control.

***Conclusion***

15. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within



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TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to HIRDEPAL SINGH whose telephone number is (571) 270-1688. The examiner can normally be reached on Mon-Fri (Alternate Friday Off) 8:30AM-6:00PM EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Shuwang Liu can be reached on 571-272-3036. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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/H. S./

Examiner, Art Unit 2611

/Shuwang Liu/

Supervisory Patent Examiner, Art Unit 2611